

To: CGS INTERNATIONAL SECURITIES MALAYSIA SDN. BHD. (formerly known as CGS-CIMB Securities Sdn. Bhd.)

Dear Sirs/Madam:

- (1) APPLICATION FOR ONLINE TRADING FACILITY VIA CGS iTrade AND/OR
- (2) APPLICATION FOR NON-RINGGIT SECURITIES TRADING ACCOUNT; AND/OR
- (3) DECLARATION BY APPLICANT ON DISCLOSURE OF INFORMATION

Client's Name: _____

Trading Account No.: _____

- Mandatory for client to select whichever is applicable
- For Non-Ringgit Securities Trading facility, mandatory to submit Foreign Currency Asset By Resident ("FEN") form and W8Ben / W8BenE / W-9

1. I/We hereby request CGS International Securities Malaysia Sdn. Bhd. (formerly known as CGS-CIMB Securities Sdn. Bhd.) ("CGS MY") to grant me/us the following facility(ies):
 - Online Trading facility via CGS iTrade; and/or (E-contract is Mandatory for Online Trading facility)
 - Non-Ringgit Securities Trading.
2. In consideration of you granting me/us the above selected facility(ies), I/we further agree and undertake that I/we shall continue to be bound by CGS MY's General Terms & Conditions and Risk Disclosure Statement – Conventional Broking or Islamic Broking (as applicable) with immediate effect including any additions or amendments that may be made by CGS MY from time to time, a copy each of which has been extended to received and acknowledged by me/us.
3. I/We shall indemnify CGS MY against any losses, damages, debts, charges and all other costs and expenses whatsoever incurred or suffered or which CGS MY may incur or suffer by reason of or in relation to or arising from or in connection with the provision of such facility(ies) by CGS MY to me/us;
4. In reference to Non-Ringgit Securities Trading, I/We affirm the accuracy and truthfulness of the information contained in the FEN Form.
5. I/We acknowledge and agree that CGS MY may retain, for the benefit of CGS MY and without any obligation to account to me/us, any interest, return or hibah (as applicable) CGS MY receives from my/our monies deposited in the Trust Account (the "Client's Monies"). I/We further consent to CGS MY withdrawing the Client's Monies and any interest, return or hibah (as applicable) received on the Client's Monies from the Trust Account. CGS MY may in its sole and absolute discretion, taking into account any withholding tax and any administrative expenses incurred by CGS MY in maintaining the Trust Account, pay any interest, return or hibah (as applicable) received on the Client's Monies to me/us as interest or hibah (as applicable). I/We agree and acknowledge that any interest, return or hibah (as applicable) by CGS MY to me/us may be less than that received by CGS MY;
 - I/We duly verify that this is my/our written direction allowing CGS MY to do the above.
6. Pursuant to Rules 5.15 (8) and Rule 5.15(9) of the Rules of Bursa Malaysia Securities Berhad, I/We do solemnly declare that the dealings in securities in respect of my/our trading account are/shall be carried out for me/us as principal;
7. I/We am/are fully aware of and understand the processes, procedures, and risks involved in using the services and executing transactions through the electronic trading facilities;
8. I/We am/are fully aware of and understand the relevant laws, rules, and regulations relating to the services provided by CGS MY to me/us under the General Terms and Conditions, including those trading and dealing of securities;
9. I/We hereby authorize you or your representative to obtain information relating to this application from any source;
10. I/We confirm that CGS MY is authorized to verify any information and/or make any checks and/or obtain any information and/or confirmation at any time and from time to time now and/or in the future, with or from any credit reference/reporting agencies, including but not limited to and/or any other agencies and/or from any financial institution and/or any other source(s) that CGS MY may in its sole discretion deem appropriate and to provide such aforesaid party(s) with the required information requested to enable CGS MY to ascertain me/our status and/or any of its directors, individual shareholders, officers, personnel, individual guarantor, security provider and/or other person, individual, and/or associated with us as maybe required by CGS MY for the purpose of the consideration of this application and thereafter if this application is approved for the purposes of the grant and/or continued maintenance of the facility/account; and/or recovery of debts due and payable under the facility/account; and/or any purpose related to or in connection with the facility/account applied for; and/or for any other purpose, that is required or permitted by any law, regulations, guidelines, and/or relevant regulatory authorities;
11. I/We shall comply with CGS MY's requirements in respect of my/our account application and CGS MY shall reserve the right to reject my/our account application at its sole discretion without any reason whatsoever;
12. **DISCLOSURE TO THIRD PARTIES FOR STRATEGIC ALLIANCES, MARKETING AND PROMOTIONAL PURPOSES**
I/We consent to my/our personal data and/or any of our directors, individual shareholders, officers, personnel, individual guarantor, security provider and/or other person, individual, and/or entity related to and/or associated with me/us to be collected, used, disclosed and/or processed by CGS MY in accordance with the General Terms and Conditions, **provided always that disclosure for cross selling purposes shall not be effected if such disclosure is objected by me/us** by writing to CGS MY at the following address (which may be changed by CGS MY from time to time by notice to us): Level 12, Menara Aras Raya, No 11, Jalan Raja Laut, 50350 Kuala Lumpur, Wilayah Persekutuan. (Attention to Client Services).
13. **PERSONAL DATA NOTICE ACKNOWLEDGEMENT**
I/We hereby acknowledge that I/We have accessed and/or read:
 - (a) the Privacy Notice issued by CGS MY (which is available at all CGS MY branches as well as at the CGS iTrade website at www.itrade.cgsi.com.my or has otherwise been made available to me/us); and
 - (b) the Personal Data Notice issued by Bursa Malaysia Berhad ("Bursa Malaysia"), (which is available at Bursa Malaysia's website www.bursamalaysia.com or has otherwise been made available to me/us); and confirm my/our agreement to the same.

14. (a) I/We hereby give my/our consent to the disclosure by Bursa Malaysia Depository Sdn. Bhd. ("**Bursa Depository**") to CGS MY and to such agents, service providers and sub-contractors of CGS MY, as notified by CGS MY to Bursa Depository from time to time, any and all information or documents relating to myself/ourselves and my/our affairs and in particular, relating to my/our securities account(s). This consent shall be valid and will remain in full force unless it has been subsequently revoked in writing by me/us. I/We release Bursa Depository from any loss or liability I/We may suffer as a result of any act, statement or omission that was done in good faith by Bursa Depository.
- (a) If data provided by Bursa Depository differs from any existing data retained by CGS MY, I/We hereby consent for CGS MY to update the existing information relating to me/us and my/our Account.

Applicable for Institutional Clients only

15. Prior to the trading of any Foreign Securities, I/We agree to provide CGS MY a list of Foreign Securities which has been approved by our Shariah Advisory Board ("**Shariah Compliant Securities List**"). I/We acknowledge CGS MY shall not be obligated or responsible to verify, monitor or confirm the compliance or conformity of any securities listed on such Shariah Compliant Securities List with any Shariah principles and such decision should be solely the responsibility of our Shariah Advisory Board.

I/We agree to promptly update CGS MY should any changes be made to the Shariah Compliant Securities List.

16. I/We understand that the information supplied by me/us is covered by the full provisions of the terms and conditions governing the Account Holder's relationship with CGS MY and its related corporations (as defined under the Companies Act 2016) (collectively the "CGSI Group") setting out how CGSI Group may use and share the information supplied by me/us;

I/We acknowledge and consent for CGSI Group to directly or indirectly share or provide any information contained herein (including information on directors, or shareholders or owners or beneficial owners), my/our information and any Reportable Account(s) with any domestic or overseas regulators or tax authorities, including but not limited to those in the country/jurisdiction in which this account(s) is/are maintained and exchanged with tax authorities of another country/jurisdiction or countries/jurisdictions in which the Account Holder may be resident for tax purposes or where necessary to establish the tax liability of any mentioned parties in any relevant jurisdiction;

In this respect, I/We confirm that we have obtained the respective consent of directors or shareholders or owners or beneficial owners that are U.S. Persons, for their information to be provided to CGSI Group to share such information with domestic or overseas regulators or tax authorities, where necessary to establish the tax liability of any of the above mentioned parties in any relevant jurisdiction.

17. I/We hereby confirm the information provided in this application and in relation to this application is true, accurate and complete and that I/we have not willfully withheld any facts;

I/We certify that I/we am/are authorized to sign on behalf of the Account Holder in respect of all the account(s) to which this form relates and I/we declare that all statements made in this declaration are to the best of our knowledge and belief, correct and complete;

I/We agree and undertake to sign and/or deliver to CGSI Group additional information, documents and/or forms, which our authorized signatory or director(s) will sign for the purposes of CGSI Group's compliance with any tax laws and regulations;

Where required by domestic or overseas governmental, supervisory or regulatory authorities, I/we understand and agree that CGSI Group may withhold, and pay out, from any of our account(s) such amounts as may be required according to applicable laws, regulations, directives, guidelines and/or agreements with and/or from domestic or overseas governmental, supervisory or regulatory authorities;

18. If there is a change in any circumstances affecting the tax residence of the Applicant or change in information provided herein or which I/we have provided to CGS MY in relation to this application, I/we agree and undertake to notify CGS MY of such changes in a timely manner (which in any case, within 30 calendar days of any its occurrence) and provide any information or suitably updated self-certification requested by CGS MY.

I/We solemnly that the declaration stated is true, and we believe it to be so conscientiously.

Yours faithfully:

Acknowledged & confirmed by:

Signature Of Applicant(s) / Authorized Signatory(ies) of Corporate Applicant
[For corporate - affix company's rubberstamp / common seal]

*Signature Of Dealer's Representative / Notary Public

Name:
NRIC/Passport/Co. Registration No.:
Date:

Name:
NRIC/Passport No.:
Date:

FOR OFFICE USE ONLY

Department	Signature verified by	Updated by	Date
Client Services			